

Bank use only
Account name:

Company Profile for Intermediary Advised Clients

 **Kleinwort Benson**

SOCIETE GENERALE GROUP

Company Profile for Intermediary Advised Clients

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How to complete this Company Profile for Intermediary Advised Clients

Please read this Company Profile for Intermediary Advised Clients carefully. For your own benefit and protection you should ensure that you understand the questions contained herein. It is essential that you complete all information accurately and comprehensively, in order that we can give you the best possible service.

If you do not understand any point please ask us for further information.

Section A - Company details

Please note: Corporate directors may be required to provide additional documentation.

Company directors

About you - First director

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

[Empty box for Tax identification / National Insurance number]

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

[Empty box for Permanent residential address]

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

[Empty box for Employer address]

Email address:

.....

Country:

Gender: Male Female

Postcode:

Section A - Company details

Company directors

About you - Second director (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

[Empty box for Tax identification / National Insurance number]

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

[Empty box for Permanent residential address]

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

[Empty box for Employer address]

Email address:

.....

Country:

Gender: Male Female

Postcode:

Section A - Company details

Company directors

About you - Third director (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

.....

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

.....

Country:

Gender: Male Female

Postcode:

Section A - Company details

Company directors

About you - Fourth director (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

.....

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

Country:

.....

Postcode:

Gender: Male Female

Section A - Company details

Beneficial Owners (owning 10% or more directly or indirectly)

About you - First beneficial owner

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

.....

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

.....

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

.....

Email address:

Country:

Gender: Male Female

Postcode:

Marital status:

Percentage shareholding: %

Section A - Company details

Beneficial Owners (owning 10% or more directly or indirectly)

About you - Second beneficial owner (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

Surname:

Tax identification / National Insurance number:

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:|.....|.....|.....|.....|.....|.....|.....|.....|.....

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

Email address:

.....

Country:

Gender: Male Female

Postcode:|.....|.....|.....|.....|.....|.....|.....|.....|.....

Marital status:

Percentage shareholding: %

Section A - Company details

Beneficial Owner (owning 10% or more directly or indirectly)

About you - Third beneficial owner (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

[Empty box for tax identification / National Insurance number]

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

[Empty box for permanent residential address]

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

[Empty box for employer address]

Email address:

.....

Country:

Gender: Male Female

Postcode:

Marital status:

Percentage shareholding: %

Section A - Company details

Beneficial Owner (owning 10% or more directly or indirectly)

About you - Fourth beneficial owner (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Tax domicile:

Forename(s):

Tax residence:

.....

Tax identification / National Insurance number:

Surname:

[Empty box for tax identification / National Insurance number]

Other name(s) used (former, maiden, other):

Passport number:

.....

Country of issue:

.....

Nationality:

Date of birth: **D D M M Y Y Y Y**

Nationality 2:

Country of birth:

Residential or/and Working visas held (e.g. Green card/UK Tier 1):

Permanent residential address:

.....

[Empty box for permanent residential address]

Employment details

If retired, what was your former occupation and who were you employed by?

Country:

Employment status:

Postcode:

Occupation:

Home telephone:

Employer:

Work telephone:

Employer address:

Mobile telephone:

[Empty box for employer address]

Email address:

Country:

.....

Postcode:

Gender: Male Female

Marital status:

Percentage shareholding: %

Section B - Source of wealth - beneficial owners

Source of wealth for all beneficial owners

Please describe the activities and background that created the total net worth and assets of the company such as details of business activities, sales of assets etc., including geographical sphere of such activities.

First Beneficial Owner

Second Beneficial Owner (if applicable)

Third Beneficial Owner (if applicable)

Fourth Beneficial Owner (if applicable)

Evidence supporting source of wealth for all beneficial owners

Please attach documentary evidence supporting the information given. For example, evidence of income from business activities, or a letter from a solicitor or accountant confirming the source of wealth. If no supporting evidence is available, please provide below a further brief explanation of the circumstances leading to the establishment of the company's overall wealth:

First Beneficial Owner

Second Beneficial Owner (if applicable)

Third Beneficial Owner (if applicable)

Fourth Beneficial Owner (if applicable)

Section B - Source of funds - company

Where are the funds being received from?

Funds are to be transferred from an existing investment adviser - Please provide their details below:

Name of adviser:

Address:

Country:

Postcode:|.....|.....|.....|.....|.....|.....

Funds are to be transferred from a bank or similar institution - Please provide the details below:

Bank name:

Bank address:

Country:

Postcode:|.....|.....|.....|.....|.....|.....

Account name:

Account number:

Sort code:

Amount:

Please state the reason for opening this account:

Does the company have a Power of Attorney or Signatory Authority (not listed in Company Directors or Beneficial Owners sections on this form) acting over the company affairs?

Yes

No

If yes, please provide details:

Name:

Residence:

Nationality:

Anticipated transactions within forthcoming 12 months:

If you are likely to require Kleinwort Benson to make or receive any regular payments, please provide details of the estimated amount, frequency, source and destination countries, and of the expected beneficiary or remitter. (Please include Direct Debits of Standing Orders)

Source of funds

Please describe the activities that created the funds that the company is investing. For example, business activity, including location or details of assets sold.

Section C - Bank details

Please provide us with your bank details below to enable us to make payments to you upon your instruction.

Bank name:
.....

Account number:

Sort code:

Account name:
.....

Bank use only	Signed by Kleinwort Benson staff member
Client name:	
Client number:	
Relationship manager:	
	Print name:
	Date: D D M M Y Y Y Y



Consumer deposit protection - UK clients only

Your deposits are protected up to £75,000 by the Financial Services Compensation Scheme, the UK's deposit protection scheme. Any deposits you hold above this amount are not covered. For further information please ask a member of Compliance, or alternatively visit the Financial Services Compensation Scheme website: www.fscs.org.uk

Regulatory information

Kleinwort Benson is the brand name of Kleinwort Benson Bank Limited. Kleinwort Benson is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. The firm reference number is 119269 and Kleinwort Benson is a member of the London Stock Exchange. Kleinwort Benson is a company incorporated in England and Wales with company number 2056420 and VAT number GB 629 2807 22. Registered Office 14 St. George Street London W1S 1FE. Telephone +44 (0) 20 3207 7000.

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For details of our services and general information about Kleinwort Benson please visit www.kleinwortbenson.com