

Tax Status Certification – Individual



Personal Details

Title: Mr, Mrs, Miss, Ms, Other (please state): _____

Forename(s): _____

Surname: _____

Permanent residential address:

Date of birth: | |

Tax residency

Tax Regulations¹ require us to collect information about each client's² tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account/s with the relevant local tax authority³.

If you have any questions about your tax residency, please contact your tax advisor.

Country/Countries of Tax Residency	Tax Identification Number (e.g. National Insurance No., Social Security No.etc.)

Please note, if you are a US citizen you are treated as tax resident in the US even if you are resident in another country.

If you are not resident in any country for tax purposes, please tick this box:

Declaration

I declare that the information provided on this form is, to the best of my knowledge and belief, accurate and complete. I agree to notify my usual contact at Kleinwort Benson immediately if any of this information changes in the future.

Name: (please print in full) _____

Signature: _____ Date: | |

Explanatory Notes

¹ Tax Regulations

The term “tax regulations” refers to regulations created to enable automatic exchange of information and include FATCA^(a), CDOT^(b) and OECD CRS^(c).

^(a) FATCA

The Foreign Account Tax Compliance provisions (commonly known as FATCA) contained in the US Hiring Incentives to Restore Employment (HIRE) Act 2010.

^(b) CDOT

Agreements to improve international tax compliance entered into between the UK, Crown Dependencies and Overseas Territories.

^(c) OECD CRS

The Organisation for Economic Co-operation and Development (OECD) Common Reporting Standard (automatic exchange of Financial Account information).

² Client

For the purpose of this form, the term “client” refers to an individual who has a relationship with Kleinwort Benson or with an entity administered by Kleinwort Benson. Such relationships may include, inter alia, an account holder, investor, settlor, beneficiary or beneficial owner.

³ Relevant local tax authority

The term “relevant local tax authority” refers to HMRC in the UK, the States of Guernsey Income Tax office, which is part of the Treasury and Resources Department, or the Tax Offices, Jersey Treasury and Resources Department as applicable.

Regulatory information

Kleinwort Benson is the brand name used by companies in the Kleinwort Benson Group. Telephone calls to each of the Kleinwort Benson Group companies listed below may be recorded. All products and services are offered subject to specific terms and conditions copies of which will be made available upon request.

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Kleinwort Benson (Guernsey) Trustees Limited, which is a company incorporated in Guernsey with company number 3333, is regulated by the Guernsey Financial Services Commission for the provision of Fiduciary Services. Registered Office Dorey Court Admiral Park St Peter Port Guernsey GY1 2HT.

Kleinwort Benson (Channel Islands) Limited, which is a company incorporated in Guernsey with company number 52103, is regulated by the Guernsey Financial Services Commission for Banking and Investment Services in Guernsey, and also authorised and regulated by the United Kingdom (‘UK’) Financial Conduct Authority in respect of UK regulated mortgage business. Registered office Dorey Court, Admiral Park, St Peter Port, Guernsey GY1 2HT.

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For details of our services and general information about Kleinwort Benson please visit www.kleinwortbenson.com.