

Bank use only
Account name:

Private Client Profile for Intermediary Advised Clients

 **Kleinwort Benson**

SOCIETE GENERALE GROUP

Private Client Profile for Intermediary Advised Clients

Contents

How to complete this Private Client Profile	1
Section A - Personal details	2
First applicant	2
Second applicant (if applicable)	3
Section B - Source of wealth and funds	4
Source of wealth for all applicants	4
Source of funds for all applicants	4
Where are the funds coming from?	5
Reason for opening account	5
Anticipated transactions within forthcoming 12 months	5
Section C - Bank details	6
FSCS Consumer deposit protection - UK clients only	6
Regulatory information	6

How to complete this Private Client Profile for Intermediary Advised Clients

Please read this Private Client Profile for Intermediary Advised Clients carefully. For your own benefit and protection you should ensure that you understand the questions contained herein. It is essential that you complete all information accurately and comprehensively, in order that we can give you the best possible service.

If you do not understand any point please ask us for further information.

Section A - Personal details

About you - First applicant

Title (Mr, Mrs, Miss, Ms, Other):

Forename(s):

.....

Surname:

Other name(s) used (former, maiden, other):

.....

.....

Date of birth: **D D M M Y Y Y Y**

Country of birth:

Permanent residential address:

[Empty box for permanent residential address]

Country:

Postcode:

Home telephone:

Work telephone:

Mobile telephone:

Email address:

.....

Gender: Male Female

Marital status:

Tax domicile:

Tax residence:

Tax identification / National Insurance number:

[Empty box for tax identification / National Insurance number]

Passport number:

Country of issue:

Nationality:

Nationality 2:

Residential and Working visas held (e.g. Green card/UK Tier 1):

.....

Employment details

If retired, what was your former occupation and who were you employed by?

Employment status:

Occupation:

Employer:

Employer address:

[Empty box for employer address]

Country:

Postcode:

Dependants

Please use this space to provide details of any dependants i.e. name, date of birth, relationship to you etc.

Is there a Power of Attorney or signatory Authority acting over your affairs?

Yes No

If yes, please provide details:

Name:

Residence:

Nationality:

Section A - Personal details

About you - Second applicant (if applicable)

Title (Mr, Mrs, Miss, Ms, Other):

Forename(s):

Surname:

Other name(s) used (former, maiden, other):

Date of birth: **D D M M Y Y Y Y**

Country of birth:

Permanent residential address:
.....

Country:

Postcode:

Home telephone:

Work telephone:

Mobile telephone:

Email address:

Gender: Male Female

Marital status:

Tax domicile:

Tax residence:

Tax identification / National Insurance number:
.....

Passport number:

Country of issue:

Nationality:

Nationality 2:

Employment details

If retired, what was your former occupation and who were you employed by?

Employment status:

Occupation:

Employer:

Employer address:
.....

Country:

Postcode:

Dependants

Please use this space to provide details of any dependants i.e. name, date of birth, relationship to you etc.
.....

Is there a Power of Attorney or signatory Authority acting over your affairs?

Yes No

If yes, please provide details:

Name:

Residence:

Nationality:

Section B - Source of wealth and funds

Source of wealth for all applicants

Please describe the activities and background that created your total net worth and assets, such as details of employment history, business activities, activities that generated inherited wealth, sales of assets etc., including geographical sphere of such activities.

Evidence supporting source of wealth for all applicants

Please attach documentary evidence supporting the information given. For example, evidence of income from employment, a copy of a will, or a letter from a solicitor or accountant confirming the source of wealth. If no supporting evidence is available, please provide below a further brief explanation of the circumstances leading to the establishment of your overall wealth:

Source of funds for all applicants

Please describe the activities that created the funds that you are investing. For example, details of your employment, business activity or previous employment, including location, if that is the source; or details of assets sold; activities that created inherited sums that you are investing, etc.

Section B - Source of wealth and funds

Where are the funds being received from?

Funds are to be transferred from an existing investment adviser - Please provide their details below:

Name of adviser:

Address:

.....

Country:

Postcode:

Funds are to be transferred from a bank or similar institution - Please provide the details below:

Bank name:

Bank address:

.....

Country:

Postcode:

Account name:

Account number:

Sort code:

Amount:

Please state the reason for opening this account:

.....

Anticipated transactions within forthcoming 12 months:

If you are likely to require Kleinwort Benson to make or receive any regular payments, please provide details of the estimated amount, frequency, source and destination countries, and of the expected beneficiary or remitter. (Please include Direct Debits of Standing Orders)

.....

Section C - Bank details

Please provide us with your bank details below to enable us to make payments to you upon your instruction.


Bank name: Account number:

..... Sort code:

Account name:

.....

Bank use only	Signed by Kleinwort Benson staff member
Client name:	
Client number:	
Relationship manager:	
	Print name:
	Date: D D M M Y Y Y Y

 **Consumer deposit protection - UK clients only**
Your deposits are protected up to £75,000 by the Financial Services Compensation Scheme, the UK's deposit protection scheme. Any deposits you hold above this amount are not covered. For further information please ask a member of Compliance, or alternatively visit the Financial Services Compensation Scheme website: www.fscs.org.uk

Regulatory information

Kleinwort Benson is the brand name of Kleinwort Benson Bank Limited. Kleinwort Benson is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. The firm reference number is 119269 and Kleinwort Benson is a member of the London Stock Exchange. Kleinwort Benson is a company incorporated in England and Wales with company number 2056420 and VAT number GB 629 2807 22. Registered Office 14 St. George Street London W1S 1FE. Telephone +44 (0) 20 3207 7000.
Telephone calls may be recorded.

Contact details

UK enquiries

+44 (0)20 3207 7400

enquiries@kleinwortbenson.com

For details of our services and general information about Kleinwort Benson please visit www.kleinwortbenson.com